



OUR SERVICES

- STOCKBROKER TO PRIMARY ISSUES
- SECURITIES (EQUITIES & BOND TRADING)
- RECEIVING AGENT ON PRIMARY ISSUES
- RESEARCH ANALYSIS
- INVESTMENT ADVISORY

ACCOUNT OPENING REQUIREMENTS

- DULY COMPLETED ACCOUNT OPENING FORM
- ONE CLEAR RECENT PASSPORT PHOTOGRAPH
- IDENTIFICATION OF SIGNATORY (E.G. INT'L PASSPORT, DRIVERS' LICENCE, PERMANENT VOTER'S CARD,

NIMC CARD - NATIONAL ID CARD)

- PROOF OF ADDRESS (E.G. ELECTRICITY BILL, WATER BILL, WASTE BILL OR TELEPHONE BILL)
- MANDATORY INITIAL OPENING INVESTMENT
- BIRTH CERTIFICATE (FOR MINOR ACCOUNT)
- CERPAC/RESIDENT PERMIT (FOR FOREIGNERS)



	Type of Account (check as appropriate) Individual Joint Estate							
	1. Personal Information							
PASSPORT	Title: Surname: Other Names							
	Gender: Date of Birth: Usual Name (Alias): Religion:							
	Residential Address:							
Place of Birth:	Country of Birth:							
• •								
State of Origin:	LGA: Country of Residence:							
2. Identification Driver's L	icense Int'l Passport National ID Card Permanent Voter's Card							
	Place of Issue: Date of Issue: Expiry Date:							
	(NIN): CERPAC/Resident Permit No:							
	y's passport other than Nigerian? Yes No lf yes, please state the country:							
2 Franksymant lafamatia	- · · · · · · · · · · · · · · · · · · ·							
3. Employment Informatio	If Employed Retired Others (please specify):							
•	Employer/Nature of Business:							
	p: Employer/Business E-mail Address:							
	mployment Business Others (please specify):							
	nge: N0-500,000.00							
N1,501,000.00=2,000,000.0	NZ,001,000.00 and above							
4. Bank Account Details								
	Account Name: Account Number:							
Date of Opening:	Bank Verification Number (BVN):							
5. Joint Account Holder								
Name of Account:								
Relationship with Joint Ac	count Holder:							
•	lders:							
C Next of Kin Details								
6. Next of Kin Details								
	Date of Birth: Gender:							
	Polotionship: Phone Number:							
Occupation:	E-mail Address:							
7. Initial Investment (N):								
Mode of Initial Opening In	vestment: Cheque/Draft Share Certificates/Demat Intermember Transfer							
Electronic Funds Transfer	Clearing House Number (CHN) (if you have another Stockbroking account)							



8. Online Access & Research/Market Reports	
Activate online access Direct Market Access	Research Reports Market News
9. CSCS Direct Cash Settlement Would you prefer direct credit of your sales proceeds into your lf yes, please complete the enclosed CSCS Investor's Bank Acco	
10. Enhanced Due Diligence	
(a) Are you a Director, 10% shareholder or someone who has	s the ability to direct the affairs of a public company?
Yes No If yes, state company's name:	
	yes, please state the most recent political post held
Have any of your close relatives/friends/associates held a po	olitical post? Yes No No
If yes, please state the names and your relationship with suc	ch persons below
i. Name	Relationship
	Date: From To
i. Name	Relationship
Post held	Date: From To
11. Attestation and Signature I/We hereby confirm that:	
Securities & Investments Limited may use confirmations and other important notificated with valid email address will limit	
Signature:	Date:
12. Signature Mandate	_
1.NAME OF SIGNATORY	2.NAME OF SIGNATORY
SPECIMEN SIGNATURE	SPECIMEN SIGNATURE
Mobile Phone No:	
	Mobile Phone No:
	Mobile Phone No:
PLEASE TICK AS APPROPRIATE	Mobile Phone No:
PLEASE TICK AS APPROPRIATE MANDATE	Mobile Phone No:
MANDATE INDIVIDUAL ACCOUNT: SOLE SIGNA	ATORY
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STOCKBROKING ACCOUNT TERMS AND CONDITIONS

- SECTION A

 1. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED's offices are open for business between the hours of 8:00 a.m. and 5:00 p.m. on each day that is designated a business day in Nigeria (Business Day).

 2. The preferred channel for receiving your purchase/sale mandates is through our secure
- online trading portal which can be accessed via www.quantumzenithsecurities.com.ng QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is acting as execution-only stockbroker and not as Financial Advisor or Portfolio Manager. You shall be solely responsible for managing your portfolio and issuing buy and sell orders for your selected stock(s). All investment decisions shall be made solely by you and you have relied on your own investigations of the potential investment(s). You are not relying on the information obtained directly or indirectly or any representations, statements, warranties or understandings, written oral made by representatives of QUANTUM ZENITH SECURITIES & INVESTMENTS
- Placements, amendments or cancellation of purchase/sale mandates can be done via the online brokerage account, or an express instruction sent via mandates@quantumzenithsecurities.com.nq with your registered e-mail address with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED or hand-delivered in our office.
- QUANTUM ZENTI DECOMINES A INVEST INVEST INVEST INVEST INVEST DATE IN A LIGHT EVENT AND THE RESERVES INVEST INVEST INVEST INVEST INVEST INVEST INVEST INVEST INVEST IN A LIGHT EVENT IN A LIGHT EV

- time on the intended business day of execution.

 6. Purchase/Sale mandates /amendments /cancellation received on a day that is not a business day will be deemed to have been received on the business day immediately following the day of actual receipt

 7. In the absence of an express instruction as to a specific timeline for the expiration of your purchase/sale mandates, the mandate will be valid for 10 working days upon receipt by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, after which it expires and the unexecuted portion will be automatically cancelled.

 8. Where mandates/amendments/cancellation (purchase/sale) are submitted after the deadline stated in (5) above, the mandates/amendments/cancellation (purchase/sale) shall not be treated until the next business day.

 9. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED executes purchase/sale mandates on a commercially reasonable efforts basis only. It is therefore unable to guarantee that a purchase/sale mandate will be executed on a particular day even where such mandate indicates that the relevant transaction is to be effected at market price.

 10. Where a mandate consists of a purchase instruction, it can only be treated if sufficient funds are available in your stockbroking account to execute such an instruction.

 11. Where a purchase mandate is dependent on the sales proceeds of stocks, the purchase mandate will not be executed even if the stocks to purchase are not available.

 12. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED executes transactions based on the prevailing market prices of stocks on The Nigerian Stock Exchange at the time of execution on business on the next working day after you submitted your mandate, kindly contact to land the submitted your mandate, kindly contact to land the submitted your mandate, kindly contact to land the submitted your mandate, will be executed to be used to the outcome of your mandate viale on the price range. You will be advised of the outcome of your mandate viale and land to you submitted your mandate, ki

- business on the next working day after you submitted your mandate, kindly contact QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED via email to
- QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED via email to enquiries@quantumzenithsecurities.com.na
 Funds deposited into your stockbroking account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED including proceeds of sales are not interest bearing. Your funds will remain in your stockbroking account until an express instruction/mandate is received authorizing utilization of the funds. However, you may opt for Direct Cash Settlement (DCS) in which case the proceeds will settle into your designated bank account. DCS is only available for sales transactions executed on the Floor of The Nigerian Stock Exchange. All sales proceeds of shares sold shall be retained in your stockbroking account except if otherwise advised (expressly) by you via a duly written and signed letter or e-mail sent via your registered e-mail address to mandates@quantum.entihsecurities.com.ng
 The deadline for submission of instruction(s) for withdrawaltransfer of funds from your stockbroking account is 10 fa.m. Any instruction submitted after the deadline may not be treated until the next business day.
 Requests to withdraw funds from your stockbroking account with OutANTUM ZENITH SECURITIES & INVESTMENTS LIMITED. Associated transfer charge(s) for interbank transfers will be borne by you.

- Interbank transfers will be borne by you.

 Where an inter-bank withdrawal/transfer instruction has been concluded upon receipt of an instruction from you, it is your responsibility to request for an evidence of execution of the interbank transfer from QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and to follow up with the receiving bank, QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED with the receiving bank QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED with the receiving bank QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED with the receivance of the response of the restrictions involved in the contraction of the contraction of
- the withdrawal/transfer process. I/We, authorize QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED to dispose. I/We, authorize QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED to dispose, sell or charge any asset(s) that may be held by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED on my/our account to liquidate or offset any indebtedness or liability due from me/us to QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and I/We hereby agree and shall at all times keep QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and any of its officers fully indemnified against any loss, claims, liabilities, expenses and costs (including reasonable legal cost) which may be incurred or suffered by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED are suffered by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED on my/our behalf, My/Our undertaking herein shall be irrevocable until any and/or all obligations due from me/us to QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED would have been fully settled.

 QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED would have
- been fully settled.

 QUANTUM ZENTH SECURTIES & INVESTMENTS LIMITED shall not make any payment(s) from a client's stockbroking account to the bank account of a third party even if such payment(s) hashave been authorized by you.

 I/We understand that all mandates, amendments, cancellations, transfer and withdrawal requests sent after the specified deadlines may not be treated till the next business day as specified above. QUANTUM ZENITH SECURTITIES & INVESTMENTS LIMITED is not under any compulsion to treat any of the referenced instructions sent after the deadlines; however, in event that such instructions are treated despite having been received after deadline by QUANTUM ZENITH SECURTIES & INVESTMENTS LIMITED, the instructions shall be binding upon me/us.
- binding upon me/us.

 I/We understand that while all my/our information is treated as highly confidential by
 OUANTUM ZENTTH SECURITIES & INVESTMENTS LIMITED, they may be required by
 Regulatory Authorities and/or other Law Enforcement Agencies with or without recourse to

SECTION B

INDEMNITY TO QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED WITH RESPECT TO INSTRUCTIONS GIVEN VIA E-MAIL, ONLINE TRADING ACCOUNT AND OTHER ELECTRONIC CHANNELS

In consideration of QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED having agreed In Consideration to QUANTOW ZENTIFI SECONTIES & INVESTMENTS LIMITED faving agreed to accept and to act on my/our instructions given via e-mail, online trading account and other electronic channels in respect of any transactions regarding my/our account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, I/we hereby confirm that:

- I/We will keep my/our profile details (username, password and other electronic channel details) as private and confidential to prevent unauthorized access to my/our Stockbroking account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED.

 I/We will notify QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED where I/we suspect or confirm that my/our profile details (username, password and other electronic channel details) has/have been compromised. Any transaction executed on my/our account before QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is notified of such compromise is binding on me/us.
- compromise is binding on melus.

 QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is authorized to act on instructions, which have been transmitted via any of the referenced electronic channels provided such instructions emanated from my/our registered address in QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED shall not be under any duty.
- to verify the identity of the person(s) giving instructions in my/our name provided such instructions have emanated from my/our registered details in QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED's records and any transaction made pursuant to
- SECURITIES & INVESTMENTS LIMITED is records and any transaction made pursuant to the instructions shall be binding upon merus. Except my/our instruction sent via any of the referenced electronic channels is duly revoked or modified by a subsequent instruction issued by merus and such subsequent instruction has been communicated to and received by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED before the execution of the prior instruction and within the stipulated deadline for revocation and or amendment of instructions, I/We undertake to be
- bound irrevocably by such prior instruction.
 Where a mandate/instruction is sent via e-mail to:
- Where a mandate/instruction is sent via eshability.

 More a mandate/instruction is sent via eshability eshability evaluates/
 mandates@cuentumzentihsecurities.com.ng. I/we should receive an acknowledgement email immediately. Where this is not received within 30 minutes, I/we understand that I/we
 should immediately contact QUANTUM ZENITH SECURITIES & INVESTMENTS
 LIMITED to confirm receipt of the mandate(s)/instruction(s) placed via my/our online brokerage
 account, it is my/our responsibility to confirm that such mandate(s)/instruction(s) has/have
 been successfully submitted and are reflecting on my/our online outstanding order module
 Where the mandates/instructions are not reflecting. I/we understand that I/we should
 immediately contact QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED to
 confirm receipt of the mandate(s)/instruction(s).

 I/We hereby agree to keep QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED
 (III) indemnified from and against all actions, proceedings, claims and demands which may
 be brought or made against OUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED
 southern than the submitted of the submitted in the submitted in the submitted of the submitted in the submitted in the submitted of the submitted in the submitted in the submitted of the submitted in the submitted in
- and all losses, costs, charges, damages and expenses which may be incurred or sustained or for which QUANTUM ZENITH SECURITES & INVESTMENTS LIMITED may become liable by reason of honoring such e-mail, online brokerage account and other electronic channels mandates/instructions provided that QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED has taken all measures prescribed by this agreement irrespective of whether the instructions are in fact erroneous, fraudulent or issued otherwise than as foresaid.

RISK WARNING

- WARNING

 OUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is not acting as an advisor. Therefore, in making your decision, you are aware that investments in shares involve a very high degree of risk and is therefore a speculative investment of which you are able to bear the economic risk of investing in and holding such shares.

 You have such knowledge and experience in financial, business and investment matters as to enable you to evaluate the merits and risks of an investment in shares or have sought and consulted with the independent advisers on the investment and are satisfied with their qualified assessment.

 You have sure that your investment is subject to prevailing market forces and as such may rise or fall significantly. It is important to note that even if a security performed well in the past, it does not mean that it will continue to or perform better in future. You have complied with all the requisite laws of the Federal Republic of Nigeria in making your investment and have not utilized proceeds of unlawful activities to do so. You shall indemnify QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED from any liability that may arise from your use of proceeds of unland from unlawful activities for any investment undertaken on your behalf by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED.
- INVESTMENTS LIMITED.

 Online trading on the floor of The Nigerian Stock Exchange (NSE) is carried out via our online trading portal. Clients with online trading activated accounts are able to place orders for securities listed on the NSE via our system from any location in real time during NSE trading hours. There are risks associated with utilizing an internet-based order and trade execution system including but not limited to the failure of hardware, software, internet connection or NSE link resulting in the failure or delay or your instruction and/or access to our online trading system. QUANTUM ZENITH SECURITES & INVESTMENTS LIMITED does not control signal power, its reception or routing via internet, configuration of your equipment or reliability of its connection therefore, we will not be liable for communication failures, distortions or delays when trading via the internet. You may therefore find that the price at which you expected to fulfill an order is not the price achieved.

 If you cancel an order, please note that this will only be possible if the original trade has not been executed.
- has not been executed.
- has not been executed. All regulatory fees, commissions, charges are as approved by the regulatory authorities. As a client of QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, you should obtain a clear explanation of all commissions, fees and charges, and understand that these charges may affect your net proceeds (profit if any, or increase your loss if any). You agree that you will be liable for these charges (as may be amended from time to time).

N

I/We hereby confirm that I/We have read and agreed to be bound by the above terms and conditions

ME:	• • • •
IAIL:	
NATURE:	
TE:	



INDEMNITY FOR FOREIGNERS/NON-NIGERIAN RESIDENTS

THIS INDEMNITY is given thisday of20by Mr/Mrs.	The Stockbroker shall have no obligation to verify the validity of the instruction(s) or the regularity
of	of the signature thereon, provided that the signatories are those verified to be similar to the ones specified in the Client's mandate at the time of opening the account or as further advised to the
(hereinafter called "the Client") to QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, a company Incorporated and duly licensed to carry on stockbroking business in Nigeria having its registered office at Plot 2, Ajose Adeogun Street, Victoria Island, Lagos State, Nigeria (hereinafter called "the Stockbroker").	Stockbroker. The Stockbroker shall be entitled to presume the regularity of the instruction(s) and the signatories therein and the Client hereby undertakes to ratify and hereby ratifies all instruction(s) received and acted upon as its irrevocable instructions.
WHEREAS:	IN WITNESS whereof the Client has set his hand and seal the day and year first above written.
The Client operates its CSCS account Number	
The Customer is presently domiciled outside Nigeria at the time of opening an account with the Stockbroker and is not at the time of issuing instructions available at his/her location and is desirous of buying and selling shares, and making transfers and or payments from/to his/her stockbroking	SIGNED, SEALED AND DELIVERED By the within named Client:
account from time to time via written instructions to be communicated to the Stockbroker through e-mails with attached scanned instructions or such other electronically secured channels; and utilizing the email address below.	
unizing the entail address below.	NAME OF CLIENT
The Client has requested the Stockbroker to always place reliance on and honour any such written instructions received via the above email address as aforementioned and for this purpose gives this indemnity.	SIGNATURE OF CLIENT & DATE
NOW THEREFORE in consideration of the Stockbroker acceding to the Client's request as	In the presence of:
aforementioned, the Client hereby irrevocably undertakes and covenants that he/she shall at all times hereafter well and truly indemnify the Stockbroker and keep the Stockbroker fully indemnified	Name:
against all losses, claims, demands, liabilities, actions, proceedings, damages, fees and expenses which may be occasioned to the Stockbroker in consequence of its so acting on the Client's	Address:
received instructions. This indemnification applies to and includes any penalties or fines paid pursuant to any judgment of a competent court or administrative action of any	Occupation:
regulatory/governmental body, as well as reasonable attorneys' fees and expenses incurred by the	Signature:
Stockbroker in this regard. In the event of any loss or liability to the Stockbroker arising from acting on the Client's instructions.	Olginator C
the Stockbroker is authorised to debit the Client's account with appropriate sums therein or combine	
and set off against any funds due to the Client coming into the Stockbroker's possession without	
any reference to the Client.	
The Client takes responsibility for the security of the message channel through which the scanned	Notarized By
instructions are sent and also takes responsibility that the instruction and the signature therein are	Notarized by
duly authorized.	



FOR OFFICIAL USE ONLY

Name	Signature	Date
Namo	Signaturo	Date
Name	Olghature	Date
Name	Signature	Date
Name	Signature	Date
Name	Signature	Date
	Name Name Name	Name Signature Name Signature Name Signature

S/No	Documents Obtained	Received	Deferred
1.	Completed Account Opening Form		
2.	Identification of Signatory(ies):		
	(a) International Passport		
	(b) Drivers' License		
	(c) National ID Card (NIMC)		
	(d) Permanent Voter's Card		
3.	Passport Photograph of Signatory(ies)		
4.	Executed Stockbroking Agreement		
5.	Resident Permit/CERPAC (for Foreigners resident in Nigeria)		
6.	Executed Indemnity for foreigners/non-Nigerian Residents		
7.	Birth Certificate (for Minor)		
8.	Request Letter for Account Opening from Signatory(ies) (Minor/Joint/Estate Account)		
9.	Banker's Confirmation of Signature (Estate account)		
10.	Letters of Administration/Will (Estate Account)		
11.	Newspaper Publication/Gazette (Estate Account)		
12.	Public Utility Receipt (PUR)		
13.	Others (specify)		



NVESTOR'S BANK ACCOUNT UPDATE FORM FOR DIRECT

SETTLEMENT

CSCS Plc, Stock Exchange House (Floors 1, 12, 13, 14 & 15), 2/4, Customs Street, P.O.BOX 3168, Marina, Lagos State. E-Mail: info@cscsnigeriaplc.com Website: www.cscsnigeriaplc.com **Telephone Number:** + 234 (1) 9033551 (FORM 001)

MOTHER'S MAIDEN NAME (where applicable)	CLIENT'S DETAILS NAME OF CLIENT (surname first) OR COMPANY'S NAME:										AFFIX PASSPORT PHOTOGRAPH					
CSCS ACCOUNT NUMBER CLEARING HOUSE NUMBER TEL. NUMBER: (1)	DATE OF	BIRTH/CAC	NO:													
CSCS ACCOUNT NUMBER CLEARING HOUSE NUMBER TEL. NUMBER: (1)	MOTHER'	S MAIDEN I	NAME (wi	here appli	cable)	••••										
CSCS ACCOUNT NUMBER CLEARING HOUSE NUMBER (1)	ADDRESS.															
TEL. NUMBER: (1)																
E-MAILADDRESS:(1)																
E-MAILADDRESS:(1)	TFL. NUN	/IBFR: (1)						(2)								
DO YOU OPT FOR DIRECT SETTLEMENT INTO YOUR BANK ACCOUNT? YES NO SIGNATURE: (1)																
SIGNATURE: (1)								_					1			
(For Corporate accounts, two authorized signatories must sign with their passports photographs affixed and company's Seal appended on this form). CLIENT'S BANK DETAILS (SETTLEMENT BANKS ONLY)	DO 100 01	TITOR DIREC	, I JETTELIV	ILINI IINIO	TOOK DA	IN ACCOUN		120			140		-			
CLIENT'S BANK DETAILS (SETTLEMENT BANKS ONLY) BANK NAME:																
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BANK NAME: BANK BRANCH. ACCOUNT NUMBER: BANK VERIFICATION NUMBER (BVN) TYPE OF ACCOUNT (Please tick the type of account) Current Savings STOCKBROKING FIRM DETAILS. MEMBER CODE: STOCKBROKING FIRM:	and com	pany s sea	appende	ea on thi	s iormij.									(OLAL)
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Quantum Zenith Securities & Investments Limited (RC: 150281)
(Formerly Zenith Securities Limited)
Member of The Nigerian Stock Exchange
12th Floor, Plot 2, Ajose Adeogun Street, Victoria Island, Lagos.
Tel: +234-1-2781204-5 (Lagos) 01-2785214 (Port Harcourt) 01-2785215 (Abuja)

Website: www.quantumzenithsecurities.com.ng E-mail: enquiries@quantumzenithsecurities.com.ng