



OUR SERVICES

- STOCKBROKER TO PRIMARY ISSUES
- SECURITIES (EQUITIES & BOND TRADING)
- RECEIVING AGENT ON PRIMARY ISSUES
- RESEARCH ANALYSIS
- INVESTMENT ADVISORY

ACCOUNT OPENING REQUIREMENTS

- DULY COMPLETED ACCOUNT OPENING FORM
- ONE CLEAR RECENT PASSPORT PHOTOGRAPH OF SIGNATORY(IES)
- IDENTIFICATION OF SIGNATORY(IES) (E.G. INT'L PASSPORT, DRIVERS' LICENCE, PERMANENT VOTER'S CARD, NIMC
 CARD NATIONAL ID CARD)
- MANDATORY INITIAL OPENING INVESTMENT
- CERPAC/RESIDENT PERMIT (FOR FOREIGNERS)
- PROOF OF ADDRESS FOR COMPANY AND SIGNATORY(IES)
- CAC2 & CAC7
- CERTIFICATE OF INCORPORATION
- MEMORANDUM AND ARTICLES OF ASSOCIATION
- BOARD RESOLUTION/SIGNATURE MANDATE FOR ACCOUNT OPENING



1. Corporate Information	
Company's Name	
Date of Incorporation/Registration	Place of Incorporation
Tax Identification Number	
Company Type: Limited Liability Company	Partnership Enterprise Others
Company's Address	_
Company's Mailing Address	
Company's E-mail Address	Website Address
Country of Residence	Telephone Number(s)
Nature of Business:	Industry Sector
Purpose of Investment	Source of Investment Fund
2. Danis Assaurt Dataila	
2. Bank Account Details	A consumt Names
	te of Opening: BVN:
Account Number: Da	tle of Opening:
3. Contact Person	
Name	
Address	
Telephone Number(s)	E-Mail Address
Signature	Date
4. Initial Investment (N):	
	t Share Certificates/Demat Intermember Transfer Funds Transfer
	ner Stockbroking account)
5. Enhanced Due Diligence	
	ssociated with this company or any of their immediate family members held any
political post. If yes, please state their names and	d their relationship with such persons.
i. Name	Relationship
Post held	Date: From To To
ii. Name	Relationship
Post held	Date: From To
iii. Name	Relationship
Post held	Date: From To To
6. Online Access & Research/Market Reports	
Activate online access Direct Market Acce	ess Research Reports Market News
Activate offiline access Direct Market Acce	299 Nesearch Neports Indiker News
7. CSCS Direct Cash Settlement	the control of the Co
Would you prefer direct credit of your sales proceeds in If yes, please complete the enclosed CSCS Investor's B.	
in yes, piease complete the eliciosed Coos investors b	and Account opuate i official pricot octuenient



8. Attestation and Signature

We hereby confirm that:

- (a) All information provided by us herein is true and accurate, we have not withheld any material fact. Any changes will be communicated promptly to Quantum Zenith Securities & Investments Limited for the update of our profile.
- (b) We authorise Quantum Zenith Securities & Investments Limited to carry out relevant checks in relation to our personal information that is provided herein for account opening.
- (c) We agree to be contacted by Representative(s) of Quantum Zenith Securities & Investments Limited for verification of our personal details to discuss trade instructions which may be time and price sensitive. Quantum Zenith Securities & Investments Limited may use our e-mail address(es) to send us information such as trade confirmations and other important notifications. Our failure to provide Quantum Zenith Securities & Investments Limited with valid email address will limit our ability to receive the above.

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outhorised Signatory & Signat	ture Ma	ndate					
.Name of Signatory						2.Name of Signatory	
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Mobile Phone No						Mobile Phone No	
3.Name of Signatory						4.Name of Signatory	
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SPECIMEN SIGNATURE	Α	В	C				
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Place of Birth	Gend	er				Place of Birth	
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STOCKBROKING ACCOUNT TERMS AND CONDITIONS

- 1. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED's offices are open for business between the hours of 8:00 a.m. and 5:00 p.m. on each day that is designated a business day in Nigeria (Business Day).

 2. The preferred channel for receiving your purchase/sale mandates is through our secure online trading portal which can be accessed via www.quantumzenithsecurities.com.ng
 3. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is acting as execution-only stockbroker and not as Financial Advisor or Portfolio Manager. You shall be solely responsible for managing your portfolio and issuing buy and sell orders for your selected stock(s). All investment decisions shall be made solely by you and you have relied on your own investigations of the potential investment(s). You are not relying on the information obtained directly or indirectly or any representations, statements, warranties or understandings, written or oral made by representatives of QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED.

 4. Placements, amendments or cancellation of purchase/sale mandates can be done via the online brokerage account, or an express instruction sent via
- Placements, amendments or cancellation of purchase/sale mandates can be done via the online brokerage account, or an express instruction sent via <u>mandates@quantumzenithsecurities.com.nq</u> with your registered e-mail address with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED or hand-delivered in our office.
- 5. The deadline for the receipt of purchase/sales mandates via all channels is 2:00 p. m.
- Nigerian time on the intended business day of execution.

 Purchase/Sale mandates /amendments /cancellation received on a day that is not a business day will be deemed to have been received on the business day immediately following the day of actual receipt.
- of actual receipt.
 In the absence of an express instruction as to a specific timeline for the expiration of your purchase/sale mandates, the mandate will be valid for 10 working days upon receipt by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, after which it expires and the unexecuted portion will be automatically cancelled.
 Where mandates/amendments/cancellation (purchase/sale) are submitted after the deadline
- stated in (5) above, the mandates/amendments/cancellation (purchase/sale) shall not be
- treated until the next business day.

 QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED executes purchase/sale QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED executes purchase/sale mandates on a commercially reasonable efforts basis only. It is therefore unable to guarantee that a purchase/sale mandate will be executed on a particular day even where such mandate indicates that the relevant transaction is to be effected at market price. Where a mandate consists of a purchase instruction, it can only be treated if sufficient funds are available in your stockbroking account to execute such an instruction. Where a purchase mandate is dependent on the sales proceeds of stocks, the purchase mandate will not be executed until the sales transaction has been executed. The sales mandate will be executed even if the stocks to purchase are not available. QUANTUM ZENTH SECURITIES & INVESTMENTS LIMITED executes transactions based on the prevailing market prices of stocks on The Nigerian Stock Exchange at the time of execution.

- Purchase and sell mandates can only indicate one price and not a price range. You will be advised of the outcome of your mandate via e-mail. Where this is not received by close of business on the next working day after you submitted your mandate, kindly contact QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED via email to

- QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED via email to enquiries@quantumzenithsecurities.com.nq
 Funds deposited into your stockbroking account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED including proceeds of sales are not interest bearing. Your funds will remain in your stockbroking account until an express instruction/mandate is received authorizing utilization of the funds. However, you may opt for Direct Cash Settlement (DCS) in which case the proceeds will settle into your designated bank account. DCS is only available for sales transactions executed on the Floor of The Nigerian Stock Exchange.
 All sales proceeds of shares sold shall be retained in your stockbroking account except if otherwise advised (expressly) by you via a duly written and signed letter or e-mail sent via your registered e-mail address to mandates@quantumzenithsecurities.com.nq
 The deadline for submission of instruction(s) for withdrawal/transfer of funds from your stockbroking account is 10a.m. Any instruction submitted after the deadline may not be treated until the next business day.
 Requests to withdraw funds from your stockbroking account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED. Associated transfer charge(s) for interbank transfers will be borne by you.
 Where an inter-bank withdrawal/transfer instruction has been concluded upon receipt of an instruction from you, it is your responsibility to request for an evidence of execution of the interbank transfer from QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and to follow up with the receiving bank. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED in the lapses of other institutions involved in the withdrawal/transfer process.
- the withdrawal/transfer process.

 We, authorize QUANTUM ZENTTH SECURITIES & INVESTMENTS LIMITED to dispose, sell or charge any asset(s) that may be held by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED on our account to liquidate or offset any indebtedness or liability due from us to QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and we hereby agree and shall at all times keep QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and any of its officers fully indemnified against any loss, claims, liabilities, expenses and costs (including reasonable legal cost) which may be incurred or suffered by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED arising out of or in connection with any transaction entered into by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED on our behalf (Our undefablicand behale in the breakenship until any auditor all obligations the our behalf. Our undertaking herein shall be irrevocable until any and/or all obligations due from me/us to QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED would have
- QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED shall not make an
- QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED shall not make any payment(s) from a client's stockbroking account to the bank account of a third party even if such payment(s) has/have been authorized by you. We understand that all mandates, amendments, cancellations, transfer and withdrawal requests sent after the specified deadlines may not be treated till the next business day as specified above. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is not under any compulsion to treat any of the referenced instructions sent after the deadlines; however, in event that such instructions are treated despite having been received after deadline by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, the instructions shall be
- binding upon us. We understand t billioning upon us.

 We understand that while all our information is treated as highly confidential by QUANTUM
 ZENITH SECURITIES & INVESTMENTS LIMITED, they may be required by Regulatory
 Authorities and/or other Law Enforcement Agencies with or without recourse to us.

SECTION B

INDEMNITY TO QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED WITH RESPECT TO INSTRUCTIONS GIVEN VIA E-MAIL, ONLINE TRADING ACCOUNT AND OTHER ELECTRONIC CHANNELS

In consideration of QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED having agreed to accept and to act on our instructions given via e-mail, online trading account and other electronic channels in respect of any transactions regarding our account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, we hereby confirm that:

- We will keep our profile details (username, password and other electronic channel details) as private and confidential to prevent unauthorized access to my/our Stockbroking account with QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED. We will notify QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED where we suspect or confirm that my/our profile details (username, password and other electronic channel details) has/have been compromised. Any transaction executed on my/our account before QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is notified of such compromise is binding on us.

 QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is authorized to act on
- instructions, which have been transmitted via any of the referenced electronic channels provided such instructions emanated from our registered address in QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED's records.

 QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED shall not be under any duty
- to verify the identity of the person(s) giving instructions in our name provided such instructions have enranated from our registered details in QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED's records and any transaction made pursuant to the instructions
- INVESTMENTS LIMITED's records and any transaction made pursuant to the instructions shall be binding upon us.

 Except our instruction sent via any of the referenced electronic channels is duly revoked or modified by a subsequent instruction issued by us and such subsequent instruction has been communicated to and received by QUANTUM ZENTH SECURITIES & INVESTMENTS LIMITED before the execution of the prior instruction and within the stipulated deadline for revocation and or amendment of instructions, we undertake to be bound irrevocably by such prior instructions. prior instruction. Where a mandate/instruction is sent via e-mail to:
- mandates@quantumzenithsecurities.com.ng. We should receive an acknowledgement e-mall immediately. Where this is not received within 30 minutes, I/we understand that we should immediately contact QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED
- should immediately contact QUAN IUM ZENT IT SECURTIES & INVESTMENTS LIMITED to confirm receipt of the mandate/instruction.

 Upon submission of our mandate(s)/instruction(s) placed via my/our online brokerage account, it is our responsibility to confirm that such mandate(s)/instruction(s) has/have been successfully submitted and are reflecting on our online outstanding order module. Where the mandates/instructions are not reflecting, we understand that we should immediately contact QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED to confirm receipt of the mandates/sinstructions?
- mandate(s)/instruction(s).
 We hereby agree to keep QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED fully indemnified from and against all actions, proceedings, claims and demands which may be brought or made against QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED and brought or made against QUANT UM ZENTIN SECURITIES & INVESTMENTS LIMITED and all losses, costs, charges, damages and expenses which may be incurred or sustained or for which QUANTUM ZENTIN SECURITIES & INVESTMENTS LIMITED may become liable by reason of honoring such e-mail, online brokerage account and other electronic channels mandates/instructions provided that QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED has taken all measures prescribed by this agreement irrespective of whether the instructions are in fact erroneous, fraudulent or issued otherwise than as foresaid.

RISK WARNING

- QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED is not acting as an advisor.
- QUANTOM ZENTI IN SECURITIES & INVESTMENT'S LIMILED is not acting as an advisor. Therefore, in making your decision, you are aware that investments in shares involve a very high degree of risk and is therefore a speculative investment of which you are able to bear the economic risk of investing in and holding such shares. You have such knowledge and experience in financial, business and investment matters as to enable you to evaluate the merits and risks of an investment in shares or have sought and consulted with the independent advisers on the investment and are satisfied with their qualified experience.
- consulted with the independent advisers on the investment and are satisfied with their qualified assessment. You are aware that your investment is subject to prevailing market forces and as such may rise or fall significantly. It is important to note that even if a security performed well in the past, it does not mean that it will continue to or perform better in future. You have complied with all the requisite laws of the Federal Republic of Nigeria in making your investment and have not utilized proceeds of unlawful activities to do so. You shall indemnify QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED from any liability that may arise from your use of proceeds obtained from unlawful activities for any investment undertaken on your behalf by QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED.
- Undertaken on your benating VQUANI DM_ZENTH SECURNITES & INVESTIMENTS LIMITED.

 Online trading on the floor of The Nigerian Stock Exchange (NSE) is carried out via our online trading portal. Clients with online trading activated accounts are able to place orders for securities listed on the NSE via our system from any location in real time during NSE trading hours. There are risks associated with utilizing an internet-based order and trade execution system including but not limited to the failure of hardware, software, internet connection or NSE link resulting in the failure or delay or your instruction and/or access to our online trading system. QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED does not control signal power, its reception or routing via internet, configuration of your equipment or reliability of its connection therefore, we will not be liable for communication failures, distortions or delays when trading via the internet.
- delays when trading via the internet.

 Online trading is not always instant. You may therefore find that the price at which you expected to fulfill an order is not the price achieved.
- If you cancel an order, please note that this will only be possible if the original trade
- If you cancel an order, please note that this will only be possible if the original trade has not been executed. All regulatory fees, commissions, charges are as approved by the regulatory authorities. As a client of QUANTUM ZENITH SECURITIES & INVESTMENTS LIMITED, you should obtain a clear explanation of all commissions, fees and charges, and understand that these charges may affect your net proceeds (profit if any, or increase your loss if any), You agree that you will be liable for these charges (as may be amended from time to time).

We hereby confirm that We have read and agreed to be bound by the above terms and conditions and indemnity.

COMPANY'S NAME:
E-MAIL:
AUTHORISED SIGNATORY(IES)
DATE:



INDEMNITY FOR FOREIGNERS/NON-NIGERIAN RESIDENTS

THIS INDEMNITY is given this	The Stockbroker shall have no obligation to verify the validity of the instruction(s) or the regularity of the signature thereon, provided that the signatories are those verified to be similar to the ones specified in the Client's mandate at the time of opening the account or as further advised to the Stockbroker. The Stockbroker shall be entitled to presume the regularity of the instruction(s) and the signatories therein and the Client hereby undertakes to ratify and hereby ratifies all instruction(s) received and acted upon as its irrevocable instructions. IN WITNESS whereof the Client has set his hand and seal the day and year first above written.
Numberthrough the Stockbroker. The Customer is presently domiciled outside Nigeria at the time of opening an account with the Stockbroker and is not at the time of issuing instructions available at his/her location and is desirous of buying and selling shares, and making transfers and or payments from/to his/her stockbroking account from time to time via written instructions to be communicated to the Stockbroker through e-mails with attached scanned instructions or such other electronically secured channels; and utilizing the email address below.	SIGNED, SEALED AND DELIVERED By the within named Client: NAME OF CLIENT
The Client has requested the Stockbroker to always place reliance on and honour any such written instructions received via the above email address as aforementioned and for this purpose gives	SIGNATURE OF CLIENT & DATE
this indemnity. NOW THEREFORE in consideration of the Stockbroker acceding to the Client's request as	In the presence of:
aforementioned, the Client hereby irrevocably undertakes and covenants that he/she shall at all times hereafter well and truly indemnify the Stockbroker and keep the Stockbroker fully indemnified against all losses, claims, demands, liabilities, actions, proceedings, damages, fees and expenses	Name:
which may be occasioned to the Stockbroker in consequence of its so acting on the Client's	Address:
received instructions. This indemnification applies to and includes any penalties or fines paid pursuant to any judgment of a competent court or administrative action of any	Occupation:
regulatory/governmental body, as well as reasonable attorneys' fees and expenses incurred by the Stockbroker in this regard. In the event of any loss or liability to the Stockbroker arising from acting on the Client's instructions,	Signature:
In the event of any loss or lability to the Stockbroker arising from acting on the Client's instructions, the Stockbroker is authorised to debit the Client's account with appropriate sums therein or combine and set off against any funds due to the Client coming into the Stockbroker's possession without	
any reference to the Client.	
The Client takes responsibility for the security of the message channel through which the scanned instructions are sent and also takes responsibility that the instruction and the signature therein are duly authorized.	Notarized By



FOR OFFICIAL USE

Relationship Officer:	Name	Signature	Date
Waiver approved by:	Name	Signature	Date
Initiated by (Olient Comings)	None	Cimaton	Dete
Initiated by (Client Services):	Name	Signature	Date
Reviewed by (Compliance Officer):	Name	Signature	Date
Approved by (Head of Operations/MD/CEO):	Name	Signature	Date

S/No	Documents Obtained	Received	Deferred
1.	Completed Account Opening Form		
2.	Identification of Signatory(ies):		
	(a) International Passport		
	(b) Drivers' License		
	(c) National ID Card (NIMC)		
	(d) Permanent Voter's Card		
3.	Passport Photograph of Signatory(ies)		
4.	Executed Stockbroking Agreement		
5.	Public Utility Receipt/Bill (PUR) – (Proof of Company's Address)		
6.	Public Utility Receipt/Bill (PUR) – Proof of Directors and Signatory(ies) Address		
7.	Certificate of Incorporation/Registration of Business Name		
8.	Copy of Form CAC2 & CAC7		
9.	Copy of Memorandum & Articles of Association (MEMART)		
10.	Board Resolution Authorising Account Opening		
11.	Signature Mandate Listing Authorised Signatory(ies) to the account		
12.	Corporate Search Report		
13.	Others (please specify)		



NVESTOR'S BANK ACCOUNT UPDATE FORM FOR DIRECT

SETTLEMENT

CSCS Plc, Stock Exchange House (Floors 1, 12, 13, 14 & 15), 2/4, Customs Street, P.O.BOX 3168, Marina, Lagos State. E-Mail: info@cscsnigeriaplc.com Website: www.cscsnigeriaplc.com **Telephone Number:** + 234 (1) 9033551 (FORM 001)

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DO YOU OPT FOR DIRECT SETTLEMENT INTO YOUR BANK ACCOUNT? YES NO SIGNATURE: (1)																
SIGNATURE: (1)								_					l			
(For Corporate accounts, two authorized signatories must sign with their passports photographs affixed and company's Seal appended on this form). CLIENT'S BANK DETAILS (SETTLEMENT BANKS ONLY)	DO 100 01	TITOR DIREC	, I JETTELIV	ILINI IINIO	TOOK DA	NK ACCOON		120			140		•			
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Quantum Zenith Securities & Investments Limited (RC: 150281)
(Formerly Zenith Securities Limited)
Member of The Nigerian Stock Exchange
12th Floor, Plot 2, Ajose Adeogun Street, Victoria Island, Lagos.
Tel: +234-1-2781204-5 (Lagos) 01-2785214 (Port Harcourt) 01-2785215 (Abuja)

Website: www.quantumzenithsecurities.com.ng E-mail: enquiries@quantumzenithsecurities.com.ng