

PASSPORT

RC: 150281

ACCOUNT OPENING FORM

Surname/Company's Name:

Other Name(s):

Title: **Gender:** **Date of Birth:**

Address (residential for individual/joint account):.....

.....

Place of Birth: **Marital Status:**

Phone Number(s): **Email Address:**

Occupation: **Employer/Nature of Business:**

Mother's Maiden Name: **Country of Origin:**

State of Origin: **LGA:**

Mode of Identification: **ID No:**

National Identification No. (NIN):..... **Ceerpac/Resident Permit No:**

Source of Fund/Wealth:

Bank Account Details:

Bank Name: **Account Name:**

Account Number: **Date of Opening:** **BVN:**

Next of Kin Details:

Name:..... **Relationship:**.....

Address:.....

Phone Number: **Occupation:**

Email Address:

Additional Information - Corporate:

Date of Incorporation: **RC No:**.....

Contact Person: **Phone No:**

Address:.....

COUNTER – PARTY AGREEMENT

I/We....., hereby authorize Zenith Securities Limited, hereinafter referred to as the 'Company', to dispose, sell or charge any asset(s) that may be held by the Company on my/our account to liquidate or offset any indebtedness or liability due from me/us to the Company and I/We hereby agree and shall at all time keep the Company and any of its Officers fully indemnified against any loss, claims, liabilities, expenses and costs (including reasonable legal cost) which may be incurred or suffered by the Company arising out of or in connection with any transaction entered into by the Company on my/our behalf. My/Our undertaking herein shall be irrevocable until any and / or all obligations due from me/us to the Company would have been fully settled.

Authorised Signatory

Authorised Signatory

Date:

For Office Use: Processing Officer's Name/Sign/Date:

While all information is treated as highly confidential, they may be required by the Securities and Exchange Commission, The Nigerian Stock Exchange and/or other Law Enforcement Agents.

INDEMNITY TO ZENITH SECURITIES LIMITED WITH RESPECT TO INSTRUCTIONS GIVEN VIA ELECTRONIC CHANNELS

In consideration of **ZENITH SECURITIES LIMITED** having agreed to accept and to act on my/our instructions given via e-mail, online brokerage account and other electronic channels in respect of any transactions regarding my/our account with **ZENITH SECURITIES LIMITED**, I/we hereby confirm that:

- I/We understand that it is my/our responsibility to keep my/our profile details (username, password and other electronic channel details) as private and confidential to prevent unauthorized access to my/our Stockbroking account with **ZENITH SECURITIES LIMITED**.
- I/We understand that it is my/our responsibility to notify **ZENITH SECURITIES LIMITED** where I/we detect that my/our profile details (username, password and other electronic channel details) have been compromised. I/We also understand that any transaction executed on my/our account before **ZENITH SECURITIES LIMITED** is notified of such compromise is binding on me/us.
- **ZENITH SECURITIES LIMITED** is authorized to act on instructions, which have been transmitted via any of the referenced electronic channels provided such instructions emanated from my/our registered address in **ZENITH SECURITIES LIMITED**'s records.
- **ZENITH SECURITIES LIMITED** shall not be under any duty to verify the identity of the person(s) giving instructions in my/our name provided such instructions have emanated from my/our registered details in **ZENITH SECURITIES LIMITED**'s records and any transaction made pursuant to the instructions shall be binding upon me/us.
- Except my/our instruction sent via any of the referenced electronic channels is duly revoked or modified by a subsequent instruction issued by me/us and such subsequent instruction has been communicated to and received by **ZENITH SECURITIES LIMITED** before the execution of the prior instruction and within the stipulated deadline for revocation and or amendment of instructions, I/We undertake to be bound irrevocably by such prior instruction.
- I/We understand that where a mandate/instruction is sent via e-mail to mandates@zenithsecuritiesng.com, I/we should receive an acknowledgement e-mail immediately. Where this is not received within 30 minutes, I/we understand that I/we should immediately contact **ZENITH SECURITIES LIMITED** to confirm receipt of the mandate/instruction.
- I/We understand that upon submission of my/our mandates/instructions placed via my/our online brokerage account, it is my/our responsibility to confirm that such mandates/instructions have been successfully submitted and are reflecting on my/our online outstanding order module. Where the mandates/instructions are not reflecting, I/we understand that I/we should immediately contact **ZENITH SECURITIES LIMITED** to confirm receipt of the mandate/instruction.
- We hereby agree to keep **ZENITH SECURITIES LIMITED** fully indemnified from and against all actions, proceedings, claims and demands which may be brought or made against **ZENITH SECURITIES LIMITED** and all losses, costs, charges, damages and expenses which may be incurred or sustained or for which **ZENITH SECURITIES LIMITED** may become liable by reason of honoring such e-mail, online brokerage account and other electronic channels mandates/instructions provided that **ZENITH SECURITIES LIMITED** has taken all measures prescribed by this agreement irrespective of whether the instructions are in fact erroneous, fraudulent or issued otherwise than as foresaid.

CONFIRMATION

I/We hereby confirm that I/We have read and agreed to be bound by the above terms and conditions and indemnity.

NAME:

SIGNATURE:

DATE:.....

SIGNATORY PERSONAL INFORMATION FORM

SURNAME NAME: _____ OTHER NAMES: _____

TITLE: _____ DATE OF BIRTH: _____ MOTHER'S MAIDEN NAME: _____

PLACE OF BIRTH: _____ SEX: MALE FEMALE

MARITAL STATUS: MARRIED SINGLE WIDOWED DIVORCE

USUAL NAME: _____

MODE OF IDENTIFICATION (NATIONAL ID, DRIVING LICENSE, INT'L PASSPORT): _____

ID NUMBER: _____ CEERPAC/RESIDENT PERMIT NO: _____

COUNTRY OF ORIGIN: _____ STATE: _____ LOCAL GOVT. AREA: _____

RESIDENTIAL/CONTACT ADDRESS (ES): _____

MAILING ADDRESS: _____

EMAIL ADDRESS: _____

PHONE NO: _____ NATIONAL IDENTIFICATION NUMBER: _____

BANK ACCOUNT DETAILS

i. BANK: _____ NUBAN A/C NO: _____

A/C NAME: _____

DATE BANK ACCOUNT WAS OPENED: _____

BANK VERIFICATION NUMBER (BVN): _____

ii. BANK: _____ NUBAN A/C NO: _____

A/C NAME: _____

DATE BANK ACCOUNT WAS OPENED: _____

BANK VERIFICATION NUMBER (BVN): _____

iii. BANK: _____ NUBAN A/C NO: _____

A/C NAME: _____

DATE BANK ACCOUNT WAS OPENED: _____

BANK VERIFICATION NUMBER (BVN): _____

CHN NO. (IF YOU HAVE ANOTHER STOCK BROKING ACCOUNT): _____

SOURCE OF WEALTH: _____

SOURCE OF FUNDS: _____

ZSL STAFF ONLY
VERIFIED BY: _____

CLIENT'S SIGNATURE / DATE

STOCKBROKING ACCOUNT INDEMNITY FOR FOREIGNERS/NON NIGERIAN RESIDENTS

THIS INDEMNITY is given this day of20.....

By Mr./Mrs.of.....

.....
(Hereinafter called "the Client") to **ZENITH SECURITIES PLC**, a company Incorporated and duly licensed to carry on stockbroking business in Nigeria having its registered office at Plot 2, Ajose Adeogun Street, Victoria Island, Lagos State, Nigeria (hereinafter called "the Stockbroker").

WHEREAS:

1. The Client operates CSCS account Number
.....and CHN Number..... with the Stockbroker.

2. The Customer is presently domiciled outside Nigeria or are not at the time of issuing instruction available at their location and is desirous of buying and selling shares, transfers and or payments to his account from the stockbroking account from time to time via written instructions to be communicated to the Stockbroker through scanned images, facsimile or e-mail with attached scanned instructions or such other electronically secured channels; that is, **(Specified e-mail address.....)**.

3. The Client has requested the Stockbroker to always place reliance on and honour any such written instruction as aforementioned and for this purpose gives this indemnity.

NOW THEREFORE in consideration of the Stockbroker acceding to the Client's request as aforementioned, the Client hereby irrevocably undertakes and covenants that he / she shall at all times hereafter well and truly indemnify the Stockbroker and keep the Stockbroker fully indemnified against all losses, claims, demands, liabilities, actions, proceedings, damages, fees and expenses which may be occasioned to the Stockbroker in consequence of its so acting on the Client's instructions.

In the event of any loss or liability to the Stockbroker arising from acting on the Client's instructions, the Stockbroker may debit the Client's account and/or appropriate sums therein or combine and set off against any funds due to the Client coming into the Stockbroker's possession without any reference to the Client.

The Client takes responsibility for the security of the message channel through which the attached scanned instructions is sent and also takes responsibility that the instruction and the signature therein are duly authorized.

The Stockbroker shall have no obligation to verify the validity of the instruction(s) or the regularity of the signature thereon, provided that the signatories are those verified to be similar to the ones specified in the Client's mandate. The Stockbroker shall be entitled to presume the regularity of the instruction(s) and the signatories therein and the customer hereby undertakes to ratify and hereby ratifies all instruction(s) received and acted upon as its irrevocable instructions.

IN WITNESS whereof the Client has set his hand and seal the day and year first above written.

SIGNED, SEALED AND DELIVERED

By the within named customer:

.....
NAME OF CUSTOMER

.....
SIGNATURE OF CUSTOMER & DATE

In the presence of:

Name:.....

Address:.....

Occupation:.....

Signature:.....

Notarized By